



## Review

# Estrogen and its metabolites are carcinogenic agents in human breast epithelial cells

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**Abstract**

Estrogens play a crucial role in the development and evolution of human breast cancer. However, it is still unclear whether estrogens are carcinogenic to the human breast. There are three mechanisms that have been considered to be responsible for the carcinogenicity of estrogens: receptor-mediated hormonal activity, a cytochrome P450 (CYP)-mediated metabolic activation, which elicits direct genotoxic effects by increasing mutation rates, and the induction of aneuploidy by estrogen. To fully demonstrate that estrogens are carcinogenic in the human breast through one or more of the mechanisms explained above it will require an experimental system in which, estrogens by itself or one of the metabolites would induce transformation phenotypes indicative of neoplasia in HBEC in vitro and also induce genomic alterations similar to those observed in spontaneous malignancies. In order to mimic the intermittent exposure of HBEC to endogenous estrogens, MCF-10F cells that are ER $\alpha$  negative and ER $\beta$  positive were first treated with 0, 0.007, 70 nM and 1  $\mu$ M of 17 $\beta$ -estradiol (E<sub>2</sub>), diethylstilbestrol (DES), benz(a)pyrene (BP), progesterone (P), 2-OH-E<sub>2</sub>, 4-hydroxy estradiol (4-OH-E<sub>2</sub>) and 16- $\alpha$ -OH-E<sub>2</sub> at 72 h and 120 h post-plating. Treatment of HBEC with physiological doses of E<sub>2</sub>, 2-OH-E<sub>2</sub>, 4-OH-E<sub>2</sub> induce anchorage independent growth, colony formation in agar methocel, and reduced ductulogenic capacity in collagen gel, all phenotypes whose expression are indicative of neoplastic transformation, and that are induced by BP under the same culture conditions. The presence of ER $\beta$  is the pathway used by E<sub>2</sub> to induce colony formation in agar methocel and loss of ductulogenic in collagen gel. This is supported by the fact that either tamoxifen or the pure antiestrogen ICI-182,780 (ICI) abrogated these phenotypes. However, the invasion phenotype, an important marker of tumorigenesis is not modified when the cells are treated in presence of tamoxifen or ICI, suggesting that other pathways may be involved. Although we cannot rule out the possibility, that 4-OH-E<sub>2</sub> may interact with other receptors still not identified, with the data presently available the direct effect of 4-OH-E<sub>2</sub> support the concept that metabolic activation of estrogens mediated by various cytochrome P450 complexes, generating through this pathway reactive intermediates that elicit direct genotoxic effects leading to transformation. This assumption was confirmed when we found that all the transformation phenotypes induced by 4-OH-E<sub>2</sub> were not abrogated when this compound was used in presence of the pure antiestrogen ICI. The novelty of these observations lies in the role of ER $\beta$  in transformation and that this pathway can successfully bypassed by the estrogen metabolite 4-OH-E<sub>2</sub>. Genomic DNA was analyzed for the detection of micro-satellite DNA polymorphism using 64 markers covering chromosomes (chr) 3, 11, 13 and 17. We have detected loss of heterozygosity (LOH) in ch13q12.2–12.3 (D13S893) and in ch17q21.1 (D17S800) in E<sub>2</sub>, 2-OH-E<sub>2</sub>, 4-OH-E<sub>2</sub>, E<sub>2</sub> + ICI, E<sub>2</sub> + tamoxifen and BP-treated cells. LOH in ch17q21.1–21.2 (D17S806) was also observed in E<sub>2</sub>, 4-OH-E<sub>2</sub>, E<sub>2</sub> + ICI, E<sub>2</sub> + tamoxifen and BP-treated cells. MCF-10F cells treated with P or P + E<sub>2</sub> did not show LOH in the any of the markers studied. LOH was strongly associated with the invasion phenotype. Altogether our data indicate that E<sub>2</sub> and its metabolites induce in HBEC LOH in loci of chromosomes 13 and 17, that has been reported in primary breast cancer, that the changes are similar to those induced by the chemical carcinogen (BP) and that the genomic changes were not abrogated by antiestrogens.

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**Keywords:** Breast; Cancer; Aneuploidy; Oxidative metabolism; Estrogen receptors; Human breast epithelial cells

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## 1. Introduction

Intensive epidemiological studies have identified a number of genetic risk factors associated with breast cancer [1]. An increased risk has also been associated with early onset of menstruation, nulliparity or delayed first childbirth, short duration of breast feeding, late menopause, use of hormone replacement therapy and increased bone density [2–4]. A principal culprit common for all these endocrine-related risk factors is the prolonged exposure to female sex hormones [5–8]. The hormonal influences have been mainly attributed to unopposed exposure to elevated levels of estrogens [5], as has been indicated for a variety of female cancers, namely, vaginal, hepatic and cervical carcinomas [9–11]. Exposure to estrogens, particularly during the critical developmental periods (e.g. in utero, puberty, pregnancy, menopause), also affects affective behaviors (e.g. depression, aggression, alcohol intake) and increases breast cancer risk [12]. In addition, both environmental and genetic factors are believed to exert their influence by a hormonal mechanism [13–18].

It is generally accepted that the biological activities of estrogens are mediated by nuclear estrogen receptors (ER) which, upon activation by cognate ligands, form homodimers with another ER–ligand complex and activate transcription of specific genes containing the estrogen response elements [19]. According to this classical model, the biological responses to estrogens are mediated by the ER universally identified until recently, which has been termed as ER $\alpha$  after the discovery of a second type of ER (ER $\beta$ ). The presence of ER $\alpha$  in target tissues or cells is essential to their responsiveness to estrogen action. In fact, the expression levels of ER $\alpha$  in a particular tissue have been used as an index of the degree of estrogen responsiveness [20]. ER $\beta$  and ER $\alpha$  share high sequence homology, especially in the regions or

domains responsible for specific binding to DNA and the ligands. ER $\beta$  can be activated by estrogen stimulation, and blocked with antiestrogens [21,22]. Upon activation, ER $\beta$  can form homodimers as well as heterodimers with ER $\alpha$  [22,23]. The existence of two ER subtypes and their ability to form DNA-binding heterodimers suggests three potential pathways of estrogen signaling: via the ER $\alpha$  or ER $\beta$  subtype in tissues exclusively expressing each subtype and via the formation of heterodimers in tissues expressing both ER $\alpha$  and ER $\beta$ . The pathways of the ER-mediated signal transduction have become even more complicated by the recent discovery of other types of ER [24,25]. In addition, estrogens and antiestrogens can induce differential activation of ER $\alpha$  and ER $\beta$  to control transcription of genes that are under the control of an AP-1 element [23].

The most biologically active estrogen in breast tissue is 17 $\beta$ -estradiol (E $_2$ ). Circulating estrogens are mainly originated from ovarian steroidogenesis in premenopausal women and peripheral aromatization of ovarian and adrenal androgens in postmenopausal women [26]. The importance of ovarian steroidogenesis in the genesis of breast cancer is highlighted by the fact that occurring naturally or induced early menopause prior to age 40 significantly reduces the risk of developing breast cancer [26]. However, the uptake of 17 $\beta$ -estradiol from the circulation does not appear to contribute significantly to the total content of estrogen in breast tumors, since the majority of estrogen present in the tumor tissues is derived from de novo biosynthesis [26]. In fact, the concentrations of 17 $\beta$ -estradiol in breast cancer tissues do not differ between premenopausal and postmenopausal women, even though plasma levels of 17 $\beta$ -estradiol decrease by 90% following menopause [27]. This phenomenon might be explained by the observation that enzymatic transformations of circulating precursors in peripheral tissues contribute 75% of estrogens in premenopausal women

and almost 100% in postmenopausal women [28,29], the data that highlight the importance of in situ metabolism of estrogens [26,30–48].

Even though the breast is influenced by a myriad of hormones and growth factors [49–52], estrogens are considered to play a major role in promoting the proliferation of both the normal and the neoplastic breast epithelium [49,50]. Estradiol acts locally in the mammary gland, stimulating DNA synthesis and promoting bud formation, probably through an ER-mediated mechanism [49]. It is also known that the prevailing metabolic condition of an individual animal or human may significantly influence mammary gland responses to hormones. In addition, the mammary gland responds selectively to given hormonal stimuli for either cell proliferation or differentiation, depending upon specific topographic differences in gland development. In either case, the response of the mammary gland to these complex hormonal and metabolic interactions results in developmental changes that permanently modify both the architecture and the biological characteristics of the gland [49,51].

The fact that the normal epithelium contains receptors for both estrogen and progesterone lends support to the receptor-mediated mechanism as a major player in the hormonal regulation of breast development. The role of these hormones on the proliferative activity of the breast, which is indispensable for its normal growth and development, has been for a long time, and still is, the subject of heated controversies [26]. There is little doubt, however, that the proliferative activity of the mammary epithelium in both rodents and humans varies with the degree of differentiation of the mammary parenchyma [49–55]. In humans, the highest level of cell proliferation is observed in the undifferentiated lobules type 1 (Lob 1) present in the breast of young nulliparous females [49–52]. The progressive differentiation of Lob 1 into lobules types 2 (Lob 2) and 3 (Lob 3), occurring under the hormonal influences of the menstrual cycle, and the full differentiation into lobules type 4 (Lob 4), as a result of pregnancy, leads to a concomitant reduction in the proliferative activity of the mammary epithelium [49–55]. The content of ER $\alpha$  and progesterone receptor (PgR) in the lobular structures of the breast is directly proportional to the rate of cell proliferation, being also maximal in the undifferentiated Lob 1, and decreasing progressively in Lob 2, Lob 3, and Lob 4 [51,56]. The findings that proliferating cells are different from those that are ER $\alpha$ - and PgR-positive support data that indicate that estrogen controls cell proliferation by an indirect mechanism. This phenomenon has been demonstrated using supernatant of estrogen-treated ER $\alpha$ -positive cells that stimulates the growth of ER $\alpha$ -negative cell lines in culture. The same phenomenon has been shown in vivo in nude mice bearing ER-negative breast tumor xenografts [57]. ER $\alpha$ -positive cells treated with antiestrogens secrete transforming growth factor- $\beta$  that inhibits the proliferation of ER $\alpha$ -negative cells [58]. The findings that proliferating cells in the human breast are different from those that contain steroid hormone receptors explain many of the in vitro

data [59,60]. Of interest are the observations that while the ER $\alpha$ -positive MCF-7 cells respond to estrogen treatment with increased cell proliferation, and that the enhanced expression of the ER $\alpha$  by transfection also increases the proliferative response to estrogen [59–61], ER $\alpha$ -negative cells, such as MDA-MB-468 and others, when transfected with ER $\alpha$ , exhibit inhibition of cell growth under the same type of treatment [60]. Although the negative effect of estrogen on those ER $\alpha$ -negative cells transfected with the ER $\alpha$  has been interpreted as an interference of the transcription factor used to maintain estrogen independent growth [61], there is no definitive explanation for their lack of survival. However, it can be explained by the finding that proliferating and ER $\alpha$ -positive cells are two separate populations. Further support is the finding that when Lob 1 of normal breast tissue are placed in culture, they lose the ER $\alpha$ -positive cells, indicating that only proliferating cells that are also ER $\alpha$ -negative can survive and constitute the stem cells [62,63].

Although 67% of breast cancers are manifested during the postmenopausal period, a vast majority, 95%, is initially hormone-dependent [26]. This indicates that estrogens play a crucial role in their development and evolution. It has been established that in situ metabolism of estrogens through aromatase-mediated pathway is correlated with the risk of developing breast cancer [37,38]. A recent finding that expression of estrone sulfatase is inversely correlated with relapse-free survival of human breast cancer patients [42] reiterates the importance of estrone sulfatase-mediated local production of estrogen in the development and progression of human breast cancer. However, it is still unclear whether estrogens are carcinogenic to the human breast. Most of the current understanding of carcinogenicity of estrogens is based on studies in experimental animal systems and clinical observations of a greater risk of endometrial hyperplasia and neoplasia associated with estrogen supplementation or polycystic ovarian syndrome [26].

There are three mechanisms [62–148] that have been considered to be responsible for the carcinogenicity of estrogens: receptor-mediated hormonal activity, which has generally been related to stimulation of cellular proliferation, resulting in more opportunities for accumulation of genetic damages leading to carcinogenesis [56,63,75–86], a cytochrome P450 (CYP)-mediated metabolic activation, which elicits direct genotoxic effects by increasing mutation rates [26,64,65,87–133], and the induction of aneuploidy by estrogen [65–73,134–148]. There is also evidence that estrogen compromises the DNA repair system and allows accumulation of lesions in the genome essential to estrogen-induced tumorigenesis [74].

To fully demonstrate that estrogens are carcinogenic in the human breast through one or more of the mechanisms explained above it will require an experimental system in which, estrogens by itself or one of the metabolites would induce transformation phenotypes indicative of neoplasia in HBEC in vitro and also induce genomic alterations similar to those observed in spontaneous malignancies, such as

DNA amplification and loss of genetic material that may represent tumor suppressor genes [149–164]. For this purpose, we have developed an *in vitro* system in which we have demonstrated that estrogens are transforming agents on human breast epithelial cells (HBEC), by utilizing the spontaneously immortalized HBEC MCF-10F [165,166]. In order to mimic the intermittent exposure of HBEC to endogenous estrogens, all cells were treated repetitively with different concentrations of  $17\beta$ -estradiol inducing phenotypic and genotypic changes indicative of cell transformation [167,168]. In the present work, we further demonstrate that metabolites of estrogens are also able to induce phenotypic and genotypic changes in human breast epithelial cells furthering our understanding of the complex role of estrogen in breast carcinogenesis.

## 2. Materials and methods

### 2.1. The *in vitro* model of cell transformation

The transforming potential of estrogens on human breast epithelial cells *in vitro*, have been evaluated by utilizing the spontaneously immortalized HBEC MCF-10F cells [167,168]. The spontaneously immortalized MCF-10F cells, treated cells and derived clones were maintained in DMEM:F-12 (1:1) medium with a 1.05 mM  $\text{Ca}^{2+}$  concentration. All cell lines were regularly tested for correct identity using a fingerprint cocktail of three minisatellite plasmid probes (ATCC, Rockville, MD). Culture media were prepared by the Central Center Tissue Culture Facility at the Fox Chase Cancer Center (Philadelphia, PA). In order to mimic the intermittent exposure of HBEC to endogenous estrogens, all cells were first treated with 0, 0.007, 70 nM and 1  $\mu\text{M}$  of  $\text{E}_2$ , DES, BP, progesterone, 2-OH- $\text{E}_2$ , 4-hydroxy estradiol (4-OH- $\text{E}_2$ ) and 16- $\alpha$ -OH- $\text{E}_2$  (Aldrich, St. Louis, MO) at 72 and 120 h post-plating. Treatments were repeated during the second week, and cells were collected at the 14th day for phenotypic and genotypic analysis (Fig. 1). At the end of each treatment period, the culture medium was replaced with fresh medium. At the

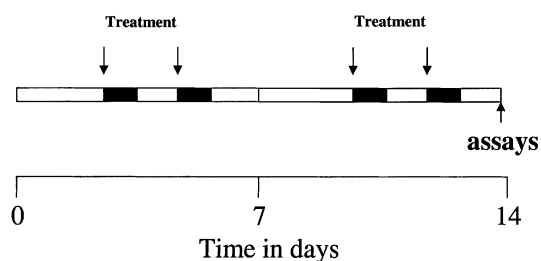


Fig. 1. Scheme of treatment. MCF-10-F cells were treated with  $\text{E}_2$ , DES, BP, 2-OH- $\text{E}_2$ , 4-OH- $\text{E}_2$ , 16- $\alpha$ -OH- $\text{E}_2$ , progesterone or cholesterol, at 72 and 120 h post-plating. Treatments were repeated during the second week, and cells were collected at the 14th day for phenotypic and genotypic analysis.

end of the second week of treatment, the cells were assayed for determination of, survival efficiency (SE), colony efficiency (CE), colony size (CS), ductulogenic capacity and invasiveness in a reconstituted basement membrane [94,169].

### 2.2. Colony formation in agar methocel assay

This technique was utilized as an *in vitro* assay for anchorage independent growth, a parameter indicative of transformation. Parental, control, and treated cells were suspended at a density of  $2 \times 10^4$  cells/ml in 2 ml of 0.8% methocel (Sigma, St. Louis, MO) dissolved in DMEM:F-12 (1:1) medium containing 20% horse serum. Cells from each treatment group and time point were plated in four 24-well chambers pre-coated with 0.5 ml of 0.8% agar base in DMEM:F-12 medium, which was replaced with fresh feeding medium containing 0.8% methocel twice a week. The actual number of cells plated was calculated as the average of cells counted at  $10\times$  magnification in five individual fields, and multiplied by a factor of 83. CE and CS were measured 21 days after plating. CE was determined by a count of the number of colonies greater than 100  $\mu\text{m}$  in diameter, and expressed as a percentage of the original number of cells plated per well.

### 2.3. Ductulogenesis in collagen matrix

This *in vitro* technique evaluates the capacity of cells to differentiate by providing evidence of whether treated cells form three-dimensional structures when grown in a collagen matrix. Parental, control, and treated cells were suspended at a final density of  $2 \times 10^3$  cells/ml in 89.3% Vitrogen<sup>100</sup> collagen matrix (Collagen Co., Palo Alto, CA) and plated into four 24-well chambers pre-coated with agar base. The cells were fed fresh feeding medium containing 20% horse serum twice a week. The cells were examined under an inverted microscope for a period of 21 days or longer for determining whether they formed ductule-like structures or whether they grew as unorganized clumps. The final structures were photographed, and then fixed in 10% neutral buffered formalin, embedded in paraffin, sectioned, and stained with hematoxylin-eosin for histological examination. Immunohistochemical techniques were utilized for detecting the proliferative index.

### 2.4. Invasion assay

The invasion assay is performed by using the Boyden-type chambers (Transwell, Coastr Cambridge, MA) separated by a porous polycarbonate filter (8  $\mu\text{m}$  pore size) (Nucleopore, Pleasanton, CA), coated with reconstituted basement membrane material (Matrigel; Collaborative Research, Bedford, MA). For the chemoinvasion assay, filters were coated with Matrigel, which was prepared by reconstituting Matrigel with 100  $\mu\text{m}$  of MEM with 0.1% BSA. The filters



were coated and dried overnight. Fibronectin (Collaborative Research, Bedford, MA) at a concentration of 1  $\mu\text{g/ml}$  in 0.5 ml of MEM with 0.1% BSA was used as chemoattractant and placed in the lower chamber. Trypsinized cells ( $3 \times 10^5$ ) were seeded in the upper chamber and incubated for 12 h at 37 °C in a carbon dioxide incubator. Then the filters were fixed, stained by Diff Quick (Sigma, St. Louis, MO), cut out and mounted onto glass slides. The total number of cells that crossed the membrane was counted under a light microscope. The values were expressed as chemoinvasion index. Values of chemoinvasion were expressed as the number of cells that migrated to the lower chamber. The experiments were repeated three times and results expressed as the mean  $\pm$  S.E. of the three experiments.

### 2.5. Detection of cell proliferation (Ki67 index)

Paraffin tissue sections of 5  $\mu\text{m}$  thickness were mounted on positively charged slides. They were incubated in two changes of Target Retrieval Solution at 98 °C for 5 min each and then incubated in diluted normal blocking serum for 20 min. The sections were incubated with mouse monoclonal anti-human Ki67 antibody, clone M1B-1 (Dako A/S, Glostrup, Denmark) at a dilution of 1:400 overnight at 4 °C in a humidity chamber. After washing the sections in buffer they were incubated with horse anti-mouse biotinylated secondary antibody (Vector Laboratories, Inc., Burlingame, CA) at room temperature for 30 min, rinsed in buffer and incubated with Vectastain Elite ABC kit for mouse (Vector Laboratories, Inc., Burlingame, CA) for 30 min. After a wash in PBS buffer sections were incubated in peroxidase substrate solution containing hydrogen peroxide and 3,3'-diaminobenzidine-HCl for 2 min. Sections incubated with non-immune serum were used as negative controls. All sections were lightly counterstained with hematoxylin. Immunostaining was evaluated by examination of slides under a bright field microscope. Cell proliferation was determined by counting the number of labeled nuclei per total number of epithelial cells. The Ki67 index was expressed as the number of labeled nuclei per 100 epithelial cells.

### 2.6. Western blots of ER $\alpha$ , ER $\beta$ and progesterone receptors

Proteins were isolated from MCF-10F cells transformed with 70 nM, ICI + 4-OH-E<sub>2</sub>, 4-OH-E<sub>2</sub>, 17 $\beta$ -estradiol, ICI + 17 $\beta$ -estradiol, progesterone and progesterone + 17 $\beta$ -estradiol as indicated in Fig. 1. MCF-7 and MDA-MB-235 cell lines were used as control. The medium was removed and the cells were rinsed with PBS at room temperature. The cells were lysed using a syringe with a 21-gauge needle followed by microcentrifugation of the cell lysate at 2000  $\times g$  for 10 min at 4 °C. The proteins were electrophoretically separated in a SDS-PAGE polyacrylamide gel 10% running at 90 V during 8 h at

room temperature. The proteins were transferred to nitrocellulose membranes (Amersham Arlington Heights, IL). Membranes were blocked using 5% of non-fat dried milk during 1 h at room temperature and hybridized to anti-ER monoclonal antibody against the full length  $\alpha$  form of the estrogen receptor (San Cruz Biotech, Santa Cruz, CA) at a concentration of 1/50, anti-ER $\beta$  (Clone ER-7G5) polyclonal antibody against 19aac synthetic peptide derived from human ER $\beta$  protein (Zymed Lab, Inc., San Francisco, CA), at a concentration 1/50 (60  $\mu\text{g/ml}$ ), anti-PR Clone PR-2C5) monoclonal antibody against peptide representing N terminal of human PR conjugates to carrier protein (Zymed Lab., Inc., San Francisco, CA), at a concentration 1/50 (20  $\mu\text{g/ml}$ ) overnight at 4 °C. Horseradish peroxidase-conjugated anti-mouse, anti-rabbit (Amersham, Arlington Heights, IL) were used as secondary antibodies in a concentration 1/2500 and incubated during 1 h at room temperature. Enhanced chemiluminescence system (Amersham, Arlington Heights, IL) was used for final immunoblot detection.

### 2.7. cDNA array

The RNA was extracted from BP, E<sub>2</sub> and 4-OH-E<sub>2</sub> transformed cells as well as the untreated MCF-10F cells. The cells were homogenized in TRIzol Reagent (Gibco BRL, Gaithersburg, MD). The RNA was isolated and stored in RNase-free water at -70 °C. The integrity of total RNA was determined by analyzing on agar gel. For cDNA probe synthesis, 5  $\mu\text{g}$  of total RNA together with 1  $\mu\text{l}$  of CDS primer mix (Clontech Laboratories, Palo Alto, CA) in a total volume of 6  $\mu\text{l}$  were heated to 70 °C for 10 min and then cooled on ice. A mixture consisting of 4  $\mu\text{l}$  of five times first-strand cDNA buffer, 1  $\mu\text{l}$  of 100 mM DTT, 2  $\mu\text{l}$  of 100 mM dNTPs (Clontech Laboratories, Palo Alto, CA), and 5  $\mu\text{l}$  of [ $\alpha$ -<sup>32</sup>P]dATP (3000 Ci/ $\mu\text{l}$ ; ICN) was added into the tube and heated at 42 °C for 2 min. One microliter of SuperScript II RNase H reverse transcriptase was then added, and the reaction was continued at the same temperature for 50 min, followed by heating to 70 °C for 15 min for enzyme inactivation. The cDNA probe was purified with a CHROMA SPIN-200DEPC-H<sub>2</sub>O column (Clontech Laboratories, Palo Alto, CA). Incorporation of <sup>32</sup>P into the probe was determined by counting in a liquid scintillation counter. The first two fractions showing the highest counts were collected and used for hybridization. The Atlas Human Cancer 1.2 Arrays containing cDNA fragments of 1176 cancer-associated human genes/clones were purchased from Clontech. Array membranes were prehybridized with 5 ml of ExpressHyb solution at 68 °C with continuous rotation in a glass hybridization roller. After prehybridization for 30 min, purified  $\alpha$ -<sup>32</sup>P-labeled cDNA probes made from MCF-10F and transformed cells RNAs were added into different rollers, and hybridization was continued overnight at the same temperature. Arrays were subsequently washed twice in 200 ml of wash solution 1 (2  $\times$  SSC, 1% SDS) at 68 °C for 20 min

with agitation and then washed once in 200 ml of wash solution 2 (0.1 × SSC, 0.5% SDS) at 68 °C for 20 min with agitation. After a final wash with 200 ml of 2 × SSC for 5 min at room temperature, the damp membranes were sealed in plastic wrap and exposed to Kodak Biomax MS X-ray film with an intensifying screen at –80 °C for 3 days. Array images on the X-ray film were scanned at 400 dpi by using an image scanner and then analyzed using the ArrayExplorer in VisualBasic (Microsoft, Inc.). We first eliminated by visual inspection false positive signals due to apparent artifacts; the intensity of each spot on the array was then calculated after background subtraction. Putative functions of the genes identified were obtained by use of the AtlasInfo database (<http://www.atlasinfo.clontech.com>).

### 2.8. Genomic analysis of treated cells

To obtain DNA, treated and control cells were lysed in 5 ml of TNE (0.5 M Tris pH 8.9, 10 mM NaCl, 15 mM EDTA) with 500 µg/ml proteinase K and 1% sodium dodecyl sulfate (SDS), and incubated at 48 °C for 24 h. Following two extractions with phenol (equilibrated with 0.1 M Tris pH 8.0), the DNA was spooled from two volumes of 100% ethanol, air dried and resuspended in 20 mM EDTA. The DNA was then treated sequentially with RNase A (100 µg/ml) for 1 h at 37 °C and 100 µg/ml proteinase K, 1% SDS, at 48 °C for 3 h, followed by two extractions with saturated phenol. The DNA was again retrieved from the aqueous phase by ethanol precipitation, washed extensively in 70% ethanol, and after air-drying suspended in TE (10 mM Tris, pH 8.0), 1 mM EDTA.

### 2.9. Detection of allelic loss

We evaluated for allelic losses the regions of chromosomes (chr) 1–3, 6–9, 11–13, 16, 17, and 18 most frequently reported to exhibit loss of heterozygosity (LOH) in spontaneous breast tumors [170]. DNA amplification of microsatellite length polymorphisms was utilized for detecting allelic losses present in the transformed clones. Microsatellites are polymorphic markers used primarily for gene mapping which can be broadly defined as relatively short (<100 bp) runs of tandem repeated di- to tetranucleotide sequence motifs. The origin and nature of these polymorphism sequences is not well established, but they may result from errors of the polymerase during replication and/or from slightly unequal recombination between homologous chromatids during meiosis. These microsatellites have proven to be useful markers for investigating LOH and could be applicable to allelotyping as well as regional mapping of deletions in specific chromosomal regions. They are highly polymorphic, very common (between 10<sup>5</sup> and 10<sup>6</sup> per genome), and are flanked by unique sequences that can serve as primers for polymerase chain reaction (PCR) amplification.

### 2.10. PCR analysis of microsatellites

Primers used for the analysis of microsatellite polymorphisms are given elsewhere [170]. Conditions for PCR amplification were as follows: 30 ng of genomic DNA, 100 pmoles of each oligonucleotide primer, 1 × PCR buffer (Perkin-Elmer, Cetus), 5 µM each of TTP, dCTP, dGTP, and dATP, 1 µCi [<sup>32</sup>P] dATP (300 mCi/mmol) (Dupont, NEN, Boston, MA), and 0.5 units of Amplitaq DNA polymerase (Perkin-Elmer, Cetus) in 50 µl volumes. The reactions were processed through 27 cycles of 1 min at 94 °C, 1 min at the appropriate annealing temperatures determined for each set of primers, and 1 min at 72 °C; with a final extension of 7 min at 72 °C. Reaction products were diluted 1:2 in loading buffer (90% formamide, 10 mM EDTA, 0.3% bromophenol blue, and 0.3% xylene cyanol), heated at 90 °C for 5 min and loaded (4 µl) onto 5–6% denaturing polyacrylamide gels. After electrophoresis, gels were dried at 70 °C and exposed to XAR-5 film with a Lightning Plus intensifying screen at –80 °C for 12–24 h. Allele sizes were determined by comparison to M13mp18 sequencing ladders.

### 2.11. Detection of allelic loss

LOH was defined as a total loss of, or a 50%, or more reduction in density in one of the heterozygous alleles. All experiments were repeated at least three times to avoid false positive or false negative results. To control for possible DNA degradation, the same blots used to assess allelic loss were analyzed with additional DNA gene probes that detect large fragments. The bands were quantitated using a Ultra-Scan XL laser densitometry (Pharmacia LKB Biotechnology, Inc.) within the linear range of the film.

## 3. Results

### 3.1. Transformation effect of estrogens and its metabolites in MCF-10F cells

We have determined the optimal doses for the expression of the cell transformation phenotype by treating the immortalized human breast epithelial cells MCF-10F with 17β-estradiol (E<sub>2</sub>) with 0.0, 0.07, 70 nM, or 1 µM of E<sub>2</sub> twice a week for 2 weeks. The survival efficiency was increased with 0.007 and 70 nM of 17β-estradiol and decrease with 1 µM. The cells treated with either doses of E<sub>2</sub> formed colonies in agar methocel (Fig. 2) and the size was not different among them, however, the CE increased from 0 in controls to 6.1, 9.2, and 8.7 with increasing E<sub>2</sub> doses (Fig. 2).

Ductulogenesis was quantitatively evaluated by estimating the ability of the cell plated in collagen to form tubules or spherical masses (SM) (Fig. 2). Non-transformed cells produce ductules like structure and transformed cells produce spherical or solid masses of cells. Cells treated with DMSO, cholesterol or progesterone at different concentrations was

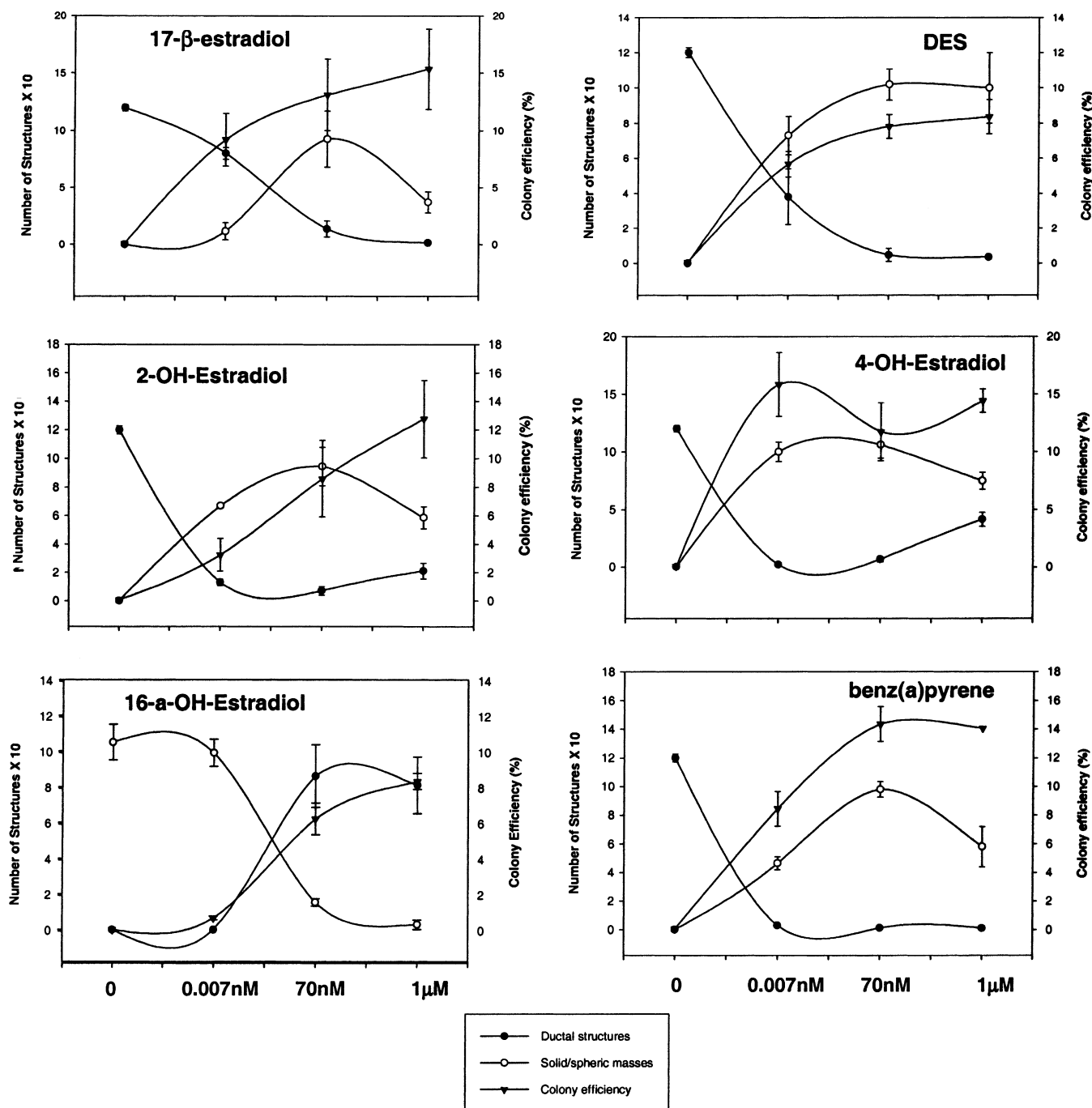


Fig. 2. Curves showing the dose response effect of MCF-10F cells to the transforming effect of 17β-estradiol, DES, 2-OH-estradiol, 4-OH-estradiol, 16-α-OH-estradiol and benz(a)pyrene. The left ordinate expresses the number of structures (ductules and solid masses) detected by 10,000 cells plated in collagen matrix. The right ordinate depicts the percentage of colonies or colony efficiency (CE) of MCF-10F cells. The CE was determined by a count of the number of colonies greater than 100 μm in diameter, and expressed a percentage of the original number of cells plated per well.

unable to alter the ductular pattern. E<sub>2</sub>, BP and DES treated cells induces the loss of MCF-10F cells to produce ductules in a dose dependent fashion and the number of solid masses paralleled the formation of colonies in agar methocel (Fig. 2). Histological analysis shows that MCF10-F cells form ductules in collagen matrix that are lined by a single

layer of cuboidal epithelial cells (Fig. 3a), this pattern was not disturbed by cholesterol or progesterone treatment. Most of the cells growing in the collagen matrix are actively proliferating as detected by immunostaining with Ki67 (Fig. 4).

2-OH-E<sub>2</sub>, 4-OH-E<sub>2</sub>, and 16α-OH-E<sub>2</sub> (Fig. 2) induce the formation of colonies in agar methocel. Cells treated with

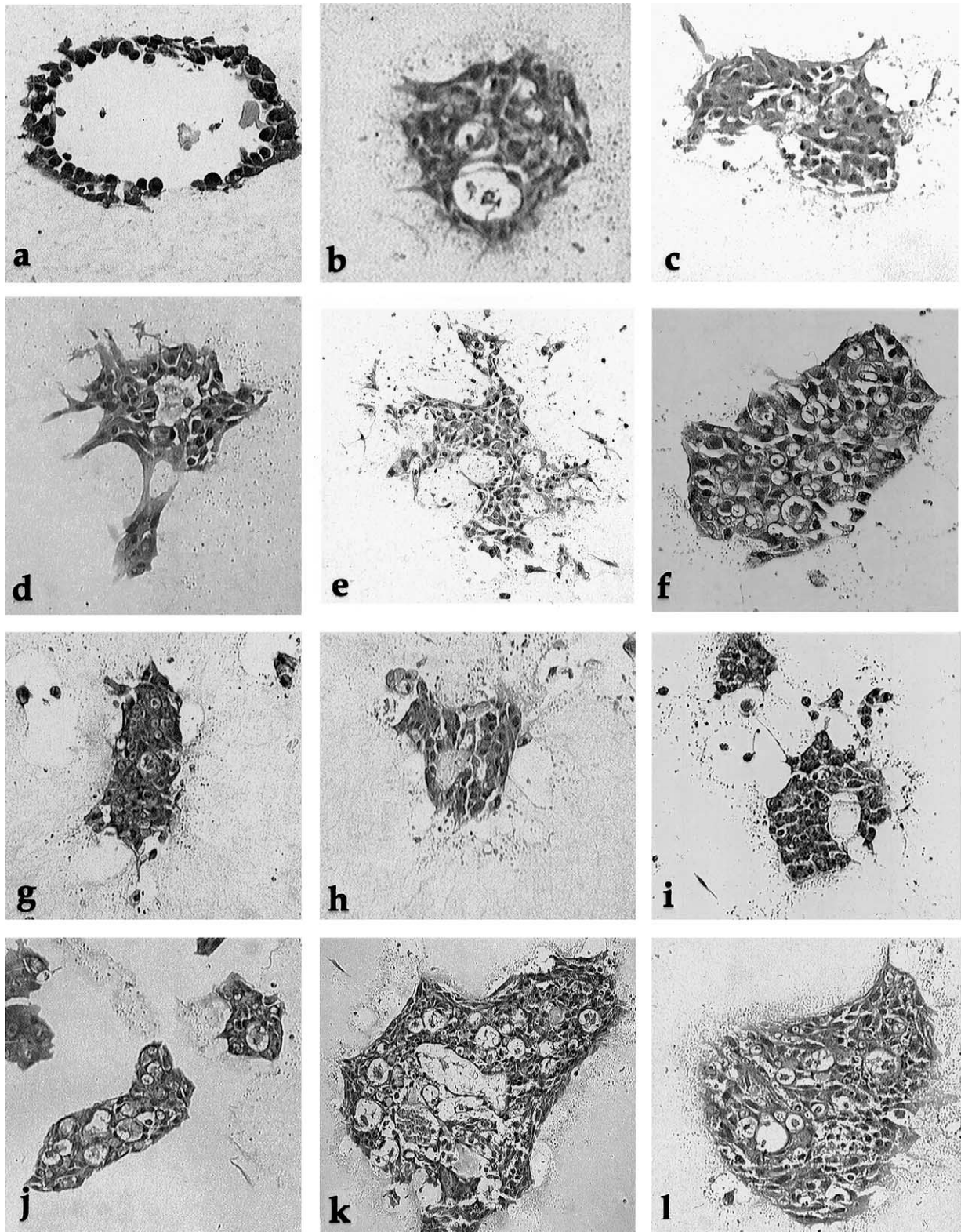


Fig. 3. Histological sections of cells growing in collagen matrix, fixed in 10% buffered formalin and embedded in paraffin and stained with hematoxylin and eosin. Photographs taken at 40× magnification. (a) MCF-10F cells in collagen matrix showing a well-organized ductular pattern; (b and c) MCF-10F cells transformed with 70 nM and 1 μM of E<sub>2</sub>, respectively; (d, e and f) MCF-10F transformed cells with 0.007, 70 nM and 1 μM of BP, respectively; (g, h and i) MCF-10F cells transformed with 0.007 nM, 70 nM and 1 μM of 2-OH-E<sub>2</sub>, respectively; (j, k and l) MCF-10F cells transformed with 0.007 nM, 70 and 1 μM of 4-OH-E<sub>2</sub>, respectively.



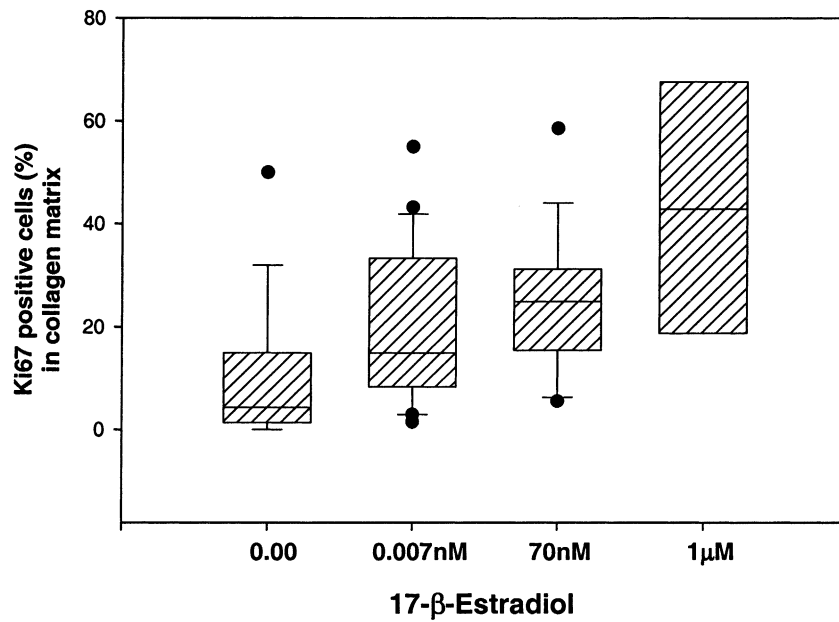


Fig. 4. Dose response effect of 17β-estradiol-transformed cells growing in collagen matrix. The proliferative activity was determined by counting the number Ki67 positive cells in histological sections of paraffin embedded cells growing in collagen.

cholesterol were unable to produce colonies. The size of the colonies was significantly smaller in those cells treated with 2-OH-E<sub>2</sub> or progesterone. Whereas the number of colonies was dose dependent reaching its maximum efficiency at the concentration of 70 nM for most of the compounds, 4-OH-E<sub>2</sub> was the most efficient in inducing larger colonies and number at a doses of 0.007 nM (Fig. 2). E<sub>2</sub>, and BP behave

very similar and are more transforming agents than DES and 2-OH-E<sub>2</sub> (Fig. 2).

The metabolites of estrogen significantly impair the formation of ductules replacing them by structures filled by large cuboidal cells. Some of the cells present cytoplasmic vacuolization and pyknosis (Fig. 3). Cells treated with 2-OH-E<sub>2</sub> or 16-α-OH-E<sub>2</sub> is less efficient in altering the

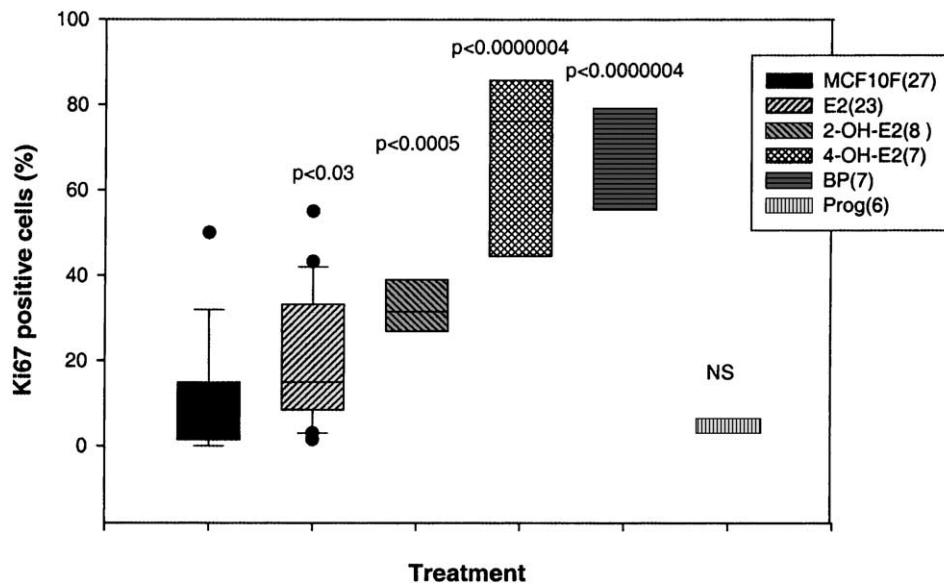


Fig. 5. Histogram depicting the proliferative activity of MCF-10F cells treated as indicated in Fig. 1 with different compounds at 70 nM concentration and growing in a collagen matrix. The values are expressing the percentage of positive cells immunoreacted with antibody Ki67. 4-OH-E<sub>2</sub> transformed cells are the ones with the highest number of proliferating cells. Progesterone treated cells do not stimulate the proliferation of MCF-10F cells.

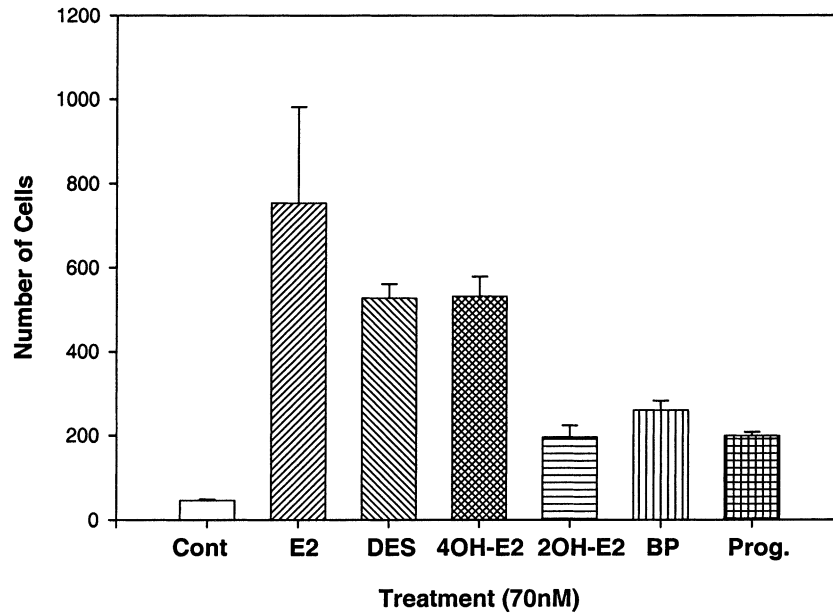


Fig. 6. Histogram depicting the invasive capacity of MCF-10F cells treated with different compounds (abscise) as indicated in Fig. 1. The ordinate shows the numbers of cells that have crossed the matrigel membrane.

ductulogenic capacity (Fig. 2). Importantly, 4-OH-E<sub>2</sub> at a dose of 0.007 nM induce significant changes in the ductulogenic capacity with a maximal number of solid masses (Fig. 3). These structures also have a high proliferative index (Fig. 5).

The invasiveness capacity of E<sub>2</sub>, DES, 4-OH-E<sub>2</sub> and BP-transformed cells measured in the Boyden Chamber, was very high when compared with the control or those treated with DMSO, P, or 2OH-E<sub>2</sub> (Fig. 6).

### 3.2. Antiestrogens in the expression of the transformation phenotype

The proliferative activity of the MCF-10F cells that has been treated with tamoxifen alone or ICI-182,780 was not modified when compared with the control. Instead those cells that were treated with 17 $\beta$ -estradiol in presence of tamoxifen or ICI-182,780 (Fig. 7) showed no increment of the proliferative activity neither in monolayer nor collagen

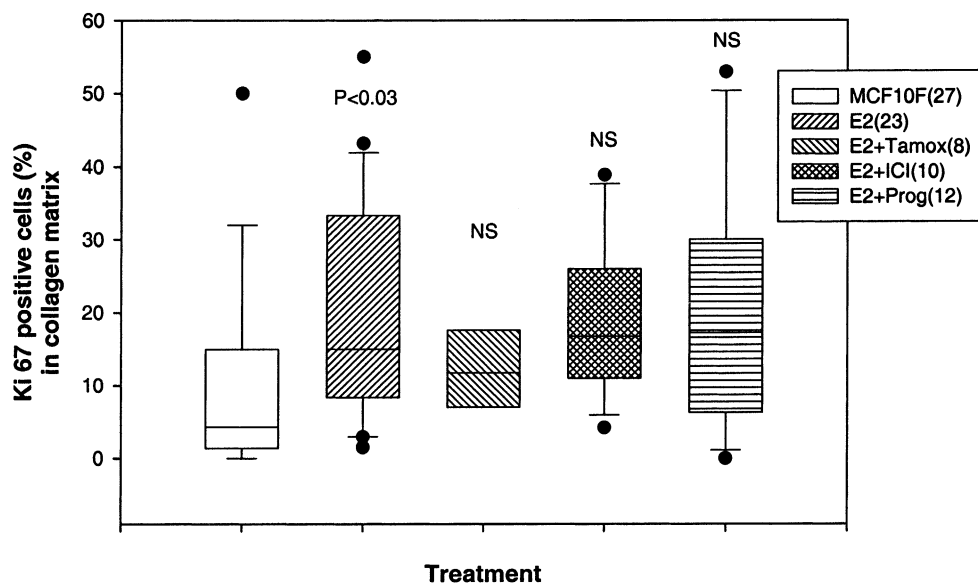


Fig. 7. Histogram depicting the proliferative activity of MCF-10F cells treated as indicated in Fig. 1 with combination of 17 $\beta$ -estradiol + tamoxifen (E<sub>2</sub> + Tamox) or plus ICI (E<sub>2</sub> + ICI) or plus progesterone (E<sub>2</sub> + Prog). The number in parenthesis indicates the number of ductules or structures counted.

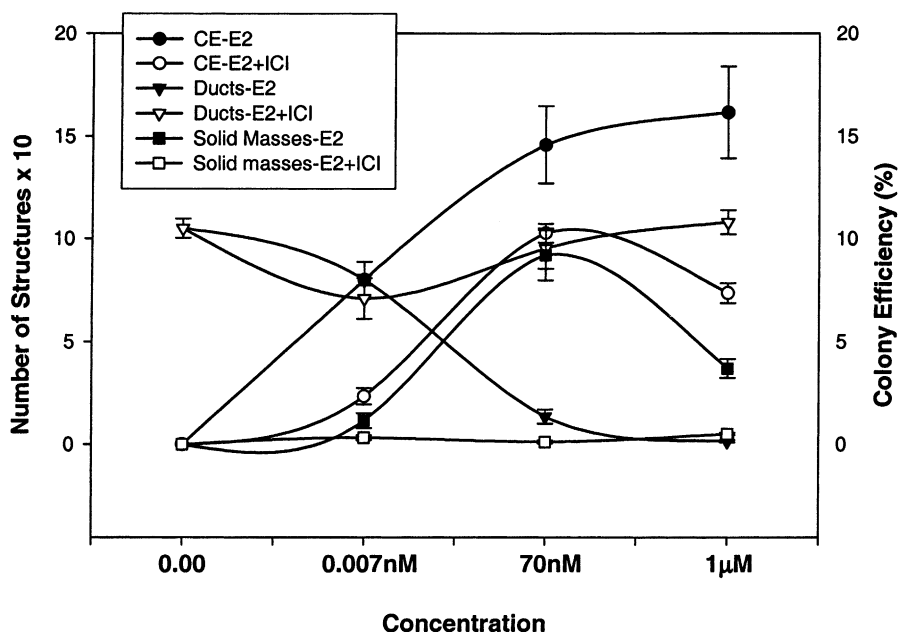


Fig. 8. Curves depicting the transforming affect of 17β-E<sub>2</sub> alone or in combination with ICI (nomenclature as described in Fig. 2).

matrix. The colony formation in agar methocel was abrogated and the ductulogenic capacity was maintained (Fig. 8). The proliferative activity of these cells in collagen matrix was also abrogated (Fig. 7). 4-OH-E<sub>2</sub> transforming efficiency was not abrogated by ICI neither in the colony efficiency assay nor in the loss of ductulogenic capacity (Fig. 9). The histology of the solid masses induced by 4-OH estradiol in collagen matrix were not modified by ICI, even the number of cells was significantly higher (Fig. 8). ICI-182,780

was unable to abrogate the invasive phenotype induced by estrogen and tamoxifen even exacerbate the invasive phenotype (Fig. 10).

### 3.3. Detection of estrogen receptors in MCF-10F cells

The ERα was not detected in the MCF-10F cells or in those transformed by estrogens or its metabolites (Fig. 11). The positive control MCF-7 cells was positive for ERα

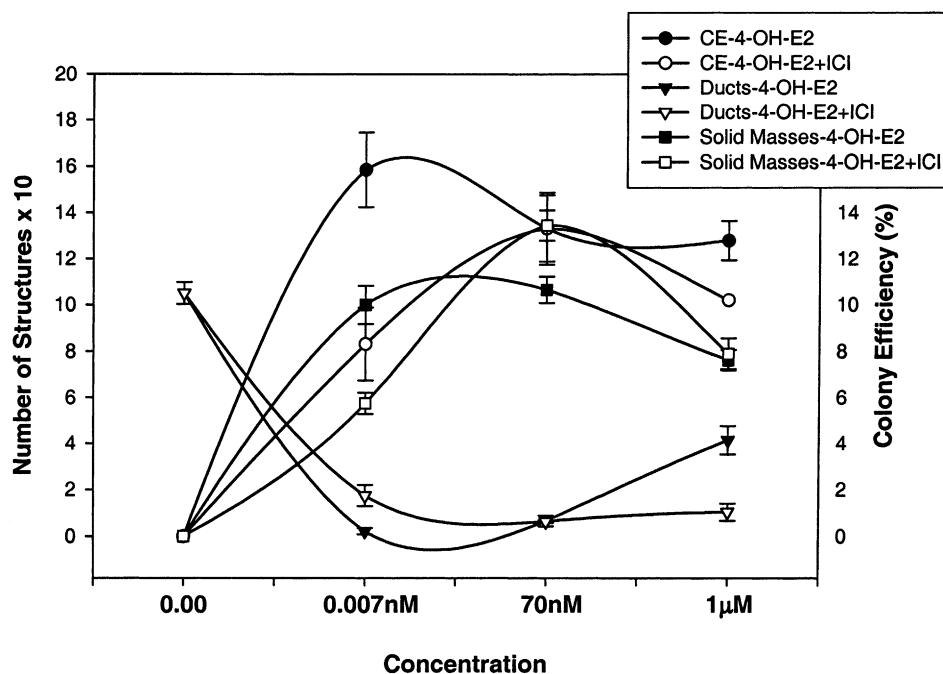


Fig. 9. Curves depicting the transforming effect of 4-OH-E<sub>2</sub> alone and in combination with ICI (4-OH-E<sub>2</sub> + ICI) (nomenclature as described in Fig. 2).

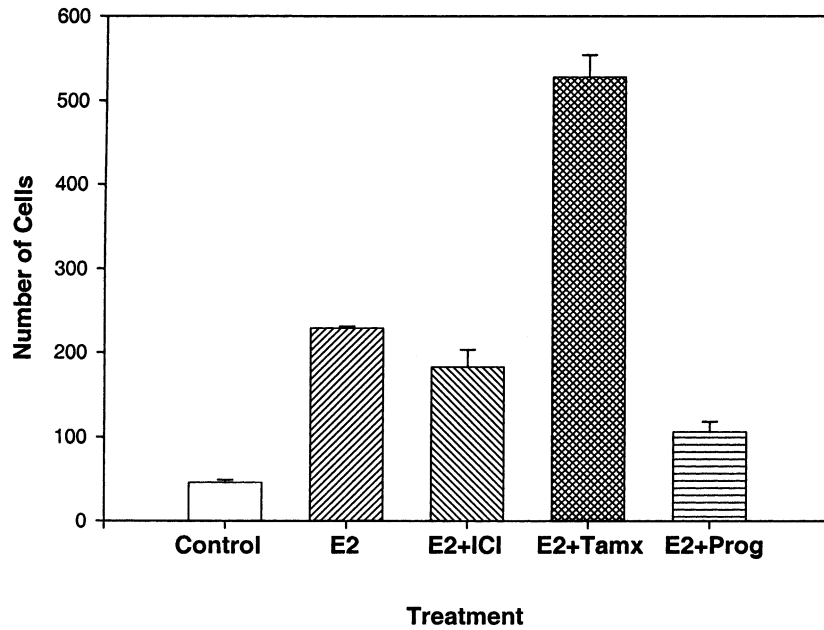


Fig. 10. Invasiveness phenotype in MCF-10F cells treated with  $17\beta$ -estradiol ( $E_2$ ) and in combination with ICI ( $E_2 + \text{ICI}$ ), tamoxifen ( $E_2 + \text{Tamx}$ ) and with progesterone ( $E_2 + \text{Prog}$ ).

showing by Western blot the specific band corresponding to a 67 kDa, instead the band was absent in the negative control MDA-MB-235 cell line (Fig. 11).

The ER $\beta$  protein expression analysis showed two bands 68 and 53 kDa of molecular weight corresponding to ER $\beta$  long and short form, respectively. Both bands were present in the MCF-10F cells and in the transformed cells. Those cells transformed by  $17\beta$ -estradiol as well as those treated with progesterone significantly overexpressed the long form of ER $\beta$ . Instead, MCF-7 cells showed the short form of the ER $\beta$  (Fig. 11).

The progesterone receptor (PR) expression was negative in the MCF-10F cells (Fig. 11) when compared with MCF-7 cells that was used a positive control presenting the

186 and 82 kDa PR long and short form, respectively. The estrogen-transformed cells also expressed PR (Fig. 11).

### 3.4. Genomic changes induced by estrogen and its metabolites in the transformation of human breast epithelial cells

In order to determine if the gene expression profile induced by  $E_2$ , 4-OH estradiol and BP were the same or whether they are divergent in their pattern of expression, mRNA from these transformed cells was extracted and hybridized to cDNA array membranes that contained 1176 human genes (Clontech Human Cancer 1.2 Array). The genomic signature of the three transformed cells present a

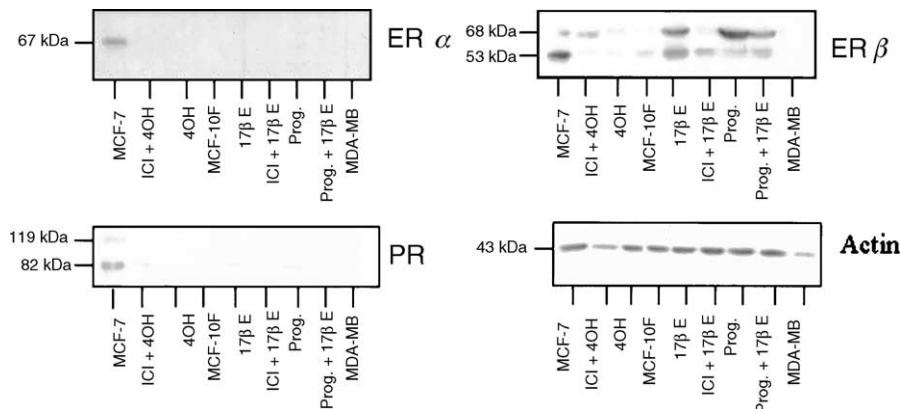


Fig. 11. Western blots of ER $\alpha$ , ER $\beta$  and progesterone receptors. Proteins were isolated from MCF-10F cells transformed with 70 nM, ICI + 4-OH- $E_2$ , 4-OH- $E_2$ ,  $17\beta$ -estradiol, ICI +  $17\beta$ -estradiol, progesterone and progesterone +  $17\beta$  estradiol as indicated in Fig. 1. MCF-7 and MDA-MB-235 cell lines were used as control. The medium was removed and the cells were rinsed with PBS at room temperature.



Table 1

Common up-regulated genes in MCF-10F cells transformed by BP, E<sub>2</sub> and 4-OH-E<sub>2</sub> using cDNA array

Gene description	Swissprot #	Function	Ratio BP/10F cells	Ratio E <sub>2</sub> /10F cells	Ratio 4-OH-E <sub>2</sub> /10F cells
c-myc oncogene	P01106	Oncogene	3.24	3.66	6.21
fos-related antigen	P15407	Oncogene	10.25	2.31	15.04
HER3	P21860	Oncogene	2.09	3.32	7.95
SRF accessory protein 2	P41970	Transcription	3.61	2.46	9.11
hEGR1	P18146	Transcription	3.2	6.49	2.91
Splicing factor 9G8	Q16629	mRNA processing	2.23	2.93	4.42
Antigen Ki67	P46013	Cell proliferation	3.2	2.7	5.97
HMG1	P17096	Chromatin	2.36	3.26	7.95
nm23-H4	O00746	Kinase	2.02	2	2.24
Cytokeratin 2E	P35908	Keratin	43.09	2.38	4.37

cluster of genes that are commonly up-regulated (Table 1), indicating that a similar mechanism is involved in the transformation pathway. Interestingly, there are genes that are up-regulated in the E<sub>2</sub> and 4-OH-E<sub>2</sub> transformed cells such as the CENP-E (Tables 2 and 3) that are not modified in the BP-transformed cells (Table 4). The same occurs for several genes that are down-regulated differentially in the three transformed cells (Table 5).

### 3.5. Chromosomal alterations induced by estrogens and its metabolites

During the process of cell transformation induced by estrogen and its metabolites there is an increase in the num-

ber of multinucleated cells and abnormal mitoses (Figs. 12 and 13) that is associated with the overexpression of one component of the centromere-kinetochore complex CENP-E. It is important to emphasize that the percentage of these abnormal mitoses is less than 1% (Figs. 14 and 15).

### 3.6. LOH in HBEC treated with estrogen and its metabolites

Genomic DNA was analyzed for the detection of micro-satellite DNA polymorphism using 64 markers covering chromosomes 3, 11, 13 and 17. We have detected loss of heterozygosity in ch13q12.2–12.3 (D13S893) and in ch17q21.1 (D17S800) in E<sub>2</sub>, 2-OH-E<sub>2</sub>, 4-OH-E<sub>2</sub>,

Table 2

Specific up-regulated genes in E<sub>2</sub>-transformed cells by cDNA array

Array location	Gene description	Swissprot #	Function	Ratio E <sub>2</sub> /10F cells
A02g	Neurogenic locus notch protein	Q04721	Oncogene	2.2
A03g	c-myc binding protein MM-1	Q99471	Oncogene	2.19
B14n	Retinoic acid receptor β	P28702	Transcription	4.76
C05l	Retinoic acid receptor γ 1	P13631	Transcription	4.59
C10m	TAX1-binding protein 151	Q13311	Transcription	4.57
<b>D14a</b>	<b>CENP-F kinetochore protein</b>	<b>P49454</b>	<b>Transcription</b>	<b>2.33</b>
C04b	TRAP1	Q12931	Signaling	3.06
E13j	GDNPF	none	Signaling	3.16
B04n	hBAP	Q99623	Transducer	2.15
C05d	GADD153	P35638	Apoptosis	6.34
B06e	KIAA0175	Q14680	Kinase	2.24
B09d	Casein kinase I γ 2	P78368	Kinase	2.88
A11k	CKS2	P33552	Kinase	2.24
B02d	PCTK1	Q00536	Kinase	2.51
B14k	51C protein	Q13577	Phospholipase	4.14
E10j	TIMP1	P01033	Protease inhibitor	2.8
D02d	Cadherin 5	P33151	Cell adhesion	2.14
F14c	Adenylosuccinate lyase	P30566	Nucleotide metabolism	2.13
C04h	HHR23A	P54725	Stress response	2.37
F06d	LDHB	P07195	Carbohydrate metabolism	3.16
D06c	Mesothelin precursor	Q13421	Surface antigen	6.46
D06e	Integrin β 4	P16144	Cell adhesion	3.23
D08e	Integrin α 7B precursor	Q13683	Cell adhesion	2.59
E07f	Interleukin-1β precursor	P01584	Interleukin	2.09
F08f	Cytokeratin 18	P05783	Keratin	2.34
F13l	RI58	Q13325	Unclassified	2.48

Table 3  
Specific up-regulated genes in 4-OH-E<sub>2</sub> transformed cells by cDNA array

Array location	Gene description	Swissprot #	Function	Ratio 4-OH-E <sub>2</sub> /10F cells
A01i	Leukemia-associated gene 1	O43261	Oncogene	2.74
A02b	EB1 protein	Q15691	Oncogene	5.45
A03b	Ezrin	P15311	Oncogene	3.5
A04e	Tyrosine-protein kinase receptor tyro3	Q06418	Oncogene	2.84
A02g	Neurogenic locus notch protein	Q04721	Oncogene	2.75
A03e	VEGFR1	P17948	Oncogene	2.64
A03g	c-myc binding protein MM-1	Q99471	Oncogene	4.06
B03m	14-3-3 protein sigma	P31947	Oncogene	2.96
A08n	HG4-1	O43846	Cell cycle	8.92
A10m	CDC10 protein homolog	Q16181	Cell cycle	3.89
A12n	GTP-binding protein GST1-HS	P15170	Cell cycle	8.66
C05f	KIAA0030	P49736	Cell cycle	3.88
C06f	MCM4 DNA replication licensing factor	P33991	Cell cycle	14.74
C07h	KIAA0078	O60216	Cell cycle	3.54
C13e	Proliferating cyclic nuclear antigen	P12004	Cyclin	10.1
A05I	G2/mitotic-specific cyclin B1	P14635	Cyclin	3.69
D03b	DNA-binding protein CPBP	Q99612	Transcription	4.19
A01c	AP-1	P05412	Transcription	11.47
E04e	Interferon $\gamma$ antagonist	None	Growth factor	2.65
E12b	Heparin-binding EGF-like growth factor	Q99075	Growth factor	3.26
E14d	Fibroblast growth factor 8	P55075	Growth factor	2.79
B12a	GRB3-3	P29354	Signaling	2.74
B14j	rho GDP dissociation inhibitor 1	P52565	Signaling	2.66
C04b	TRAP1	Q12931	Signaling	4.05
B04k	Caveolin-1	Q03135	Signaling	2.54
C02I	TDG	Q13569	DNA repair	7.74
A13b	p78 putative serine/threonine-protein kinase	P27448	Kinase	3.67
B06e	KIAA0175	Q14680	Kinase	15.24
A05j	Cell division protein kinase 6	Q00534	Kinase	3.19
D09m	Glutathione-S-transferase (GST) homolog	P78417	Stress response	6.55
D07b	High mobility group protein HMG2	P26583	Chromatin	9.7
D11a	Heterochromatin protein homolog 1	P45973	Chromatin	3.47
D08a	High mobility group protein I&Y	P17096	Chromatin	7.95
<b>D14a</b>	<b>CENP-F kinetochore protein</b>	<b>P49454</b>	<b>Chromatin</b>	<b>4.13</b>
D08b	Histone H4	none	Histone	11.06
F03d	Thymidylate synthase	P04818	Nucleotide metabolism	2.83
F04d	Purine nucleoside phosphorylase	P00491	Nucleotide metabolism	2.63
F07e	Ribonucleotide reductase	P31350	Nucleotide metabolism	5.19
F08b	UMK	Q92528	Nucleotide metabolism	3.65
F09c	Uridine phosphorylase	Q16831	Nucleotide metabolism	3.82
F12d	Uridine 5'-monophosphate synthase	P11172	Nucleotide metabolism	6.34
F05e	Ornithine decarboxylase	P11926	Metabolism	12.9
F06d	L-Lactate dehydrogenase H subunit	P07195	Metabolism	5.93
B05I	Calmodulin 1	P02593	Calcium-binding	5.41
D02d	Cadherin 5 (CDH5)	P33151	Cell adhesion	2.77
D03e	Integrin $\alpha$ 3 (ITGA3)	P26006	Cell adhesion	3.89
E04k	PRSM1 metalloproteinase	Q15779	Metalloproteinase	2.58
E10j	TIMP1	P01033	Protease inhibitor	3.07
F06f	Cytokeratin 14	P02533	Keratin	4.29
F08j	HSC70-interacting protein	P50502	Chaperone	3.37
F03n	KIAA0204	Q92603	Unclassified	3.69

E<sub>2</sub> + ICI, E<sub>2</sub> + tamoxifen and BP-treated cells. LOH in ch17q21.1–21.2 (D17S806) was also observed in E<sub>2</sub>, 4-OH-E<sub>2</sub>, E<sub>2</sub> + ICI, E<sub>2</sub> + tamoxifen and BP-treated cells. MCF-10F cells treated with P or P + E<sub>2</sub> did not show LOH in the any of the markers studied. LOH was strongly associated with the invasion phenotype. These genomic changes were not abrogated by antiestrogens.

#### 4. Discussion

We have demonstrated that 17 $\beta$ -estradiol induces cell transformation of the human breast epithelial cells MCF-10F. The cells treated with either doses of E<sub>2</sub> formed colonies in agar methocel a phenotype indicative of neoplastic transformation [61,75,76,167]. Non-transformed

Table 4  
Specific up-regulated genes in BP-transformed cells by cDNA array

Array location	Gene description	Swissprot #	Function	Ratio BP/10F cells
C05l	RAR- $\gamma$ 1	P13631	Transcription	3.77
B04k	Caveolin-1	Q03135	Signaling	3.35
A03b	Ezrin	P15311	Oncogene	2.01
C04h	HHR23A	P54725	Stress response	2.04
C08g	mutL protein homolog	P40692	Stress response	4.31
E07h	Glycosylation-inhibiting factor	P14174	Cell communication	4.44
D06e	Integrin $\beta$ 4	P16144	Cell adhesion	4.24
D08e	Integrin $\alpha$ 7B precursor	Q13683	Cell adhesion	3.06
D05e	Integrin $\alpha$ 6 precursor	P23229	Cell adhesion	2.24
D07e	Integrin $\alpha$ 1	P56199	Cell adhesion	2.31
F05d	LDHA	P00338	Carbohydrate metabolism	6.25
F08f	Cytokeratin 18	P05783	Cytokeratin	3.04
F14e	BIGH3	Q15582	Microfilament	6.73

Table 5  
Common down-regulated genes in MCF-10F cells transformed by BP, E<sub>2</sub> and 4OH using cDNA array

Array location	Gene description	Swissprot #	Function	Ratio BP/ 10F cells	Ratio E <sub>2</sub> / 10F cells	Ratio 4-OH-E <sub>2</sub> / 10F cells
A11g	PIG7	Q99732	Tumor suppressor	0.02	0.04	0.19
A14h	CD82 antigen	P27701	Tumor suppressor	0	0.18	0
B06k	rho GDP dissociation inhibitor 2	P52566	Tumor suppressor	0	0	0.21
A02g	Neurogenic locus notch protein	Q04721	Transcription	0.29	0.47	0.38
A13h	Active breakpoint cluster region-related protein	Q12979	Transcription	0.13	0.25	0.46
A14c	ets-related protein tel	P41212	Transcription	0	0.08	0.08
C06m	B4-2 protein	Q12796	Transcription	0	0	0
B03n	T3 receptor-associating cofactor 1	O00613	Intracellular transducers	0.48	0.41	0.22
E04b	HDGF	P51858	Growth factor	0.34	0.1	0.24
F07l	HNRNPK	Q07244	mRNA processing	0	0	0.17
B02j	RalB GTP-binding protein	P11234	G protein	0	0.24	0
B04j	rhoC	P08134	G protein	0.09	0.06	0.48
B12j	p21-rac2	P15153	G protein	0.12	0.2	0.49
B13l	p21-rac1	P15154	G protein	0	0	0.33
A06j	CDK5	Q00535	Kinase	0.18	0	0.41
B05h	NDR protein kinase	Q15208	Kinase	0	0	0
B08c	Tissue-specific extinguisher 1	P10644	Kinase	0	0	0.19
A09l	CDKN1A	P38936	Kinase inhibitor	0.09	0.03	0.08
A10d	HGF-SF receptor	P08581	Kinase inhibitor	0	0	0.31
B02m	Hint protein	P49773	Kinase inhibitor	0	0	0.37
B07l	Calvasculin	P26447	Calcium binding	0	0.11	0.46
B09n	CD27 ligand	P32970	Death receptor ligand	0.37	0	0
C02c	BAG-1	Q99933	BCL family protein	0	0	0.19
C09m	AH receptor	P35869	Nuclear receptor	0.06	0.12	0
F04l	Lipocalin 2	P80188	Trafficking	0	0	0
F09h	TRAM protein	Q15629	Trafficking	0	0	0.29
F10h	Dual-specificity A-kinase anchoring protein 1	Q92667	Targeting	0	0.19	0.24
D01d	Cadherin 3	P22223	Cell adhesion	0.32	0.14	0.08
D02e	Integrin $\beta$ 6 precursor	P18564	Cell adhesion	0.16	0.11	0.22
E02f	IGF-binding protein 3	P17936	Hormone	0	0	0
E02m	HLA-C	Q30182	Immune	0.19	0.17	0
E02n	GRP 78	P11021	Immune	0	0	0
F03b	Fibronectin precursor	P02751	Extracellular matrix	0.32	0.13	0.09
F13n	Insulin-induced protein 1	O15503	Unclassified	0.13	0.33	0.35
F08m	PM5 protein	Q15155	Unclassified	0.17	0.34	0

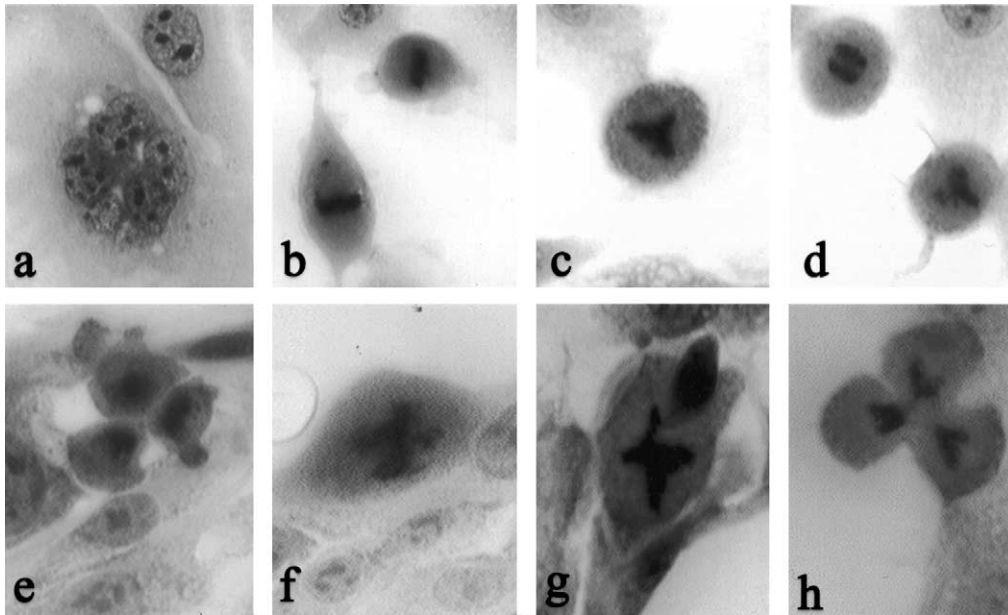


Fig. 12. (a–h) Cytospin preparation stained with H&E. (a) Multinucleated E<sub>2</sub>-MCF-10F-transformed cells; (b) normal mitosis of MCF-10F cells; (c and d) abnormal mitosis of E<sub>2</sub>-transformed cells; (e and f) 2-OH-E<sub>2</sub>-transformed cells; (g and h) 4-OH-E<sub>2</sub>-transformed cells (40×).

cells produce ductules like structure and transformed cells produce spherical or solid masses of cells [169,176]. Cells treated with DMSO, cholesterol or progesterone at different concentrations was unable to alter the ductular pattern. E<sub>2</sub>, BP and DES treated cells induces the loss of MCF-10F cells to produce ductules in a dose dependent fashion and the number of solid masses paralleled the formation of colonies in agar methocel [169]. Most of the cells growing in the collagen matrix are actively proliferating as detected by immunostaining with Ki67.

The ER $\alpha$  was not detected in the MCF-10F cells or in those transformed by estrogens or its metabolites. Interestingly MCF-10F and the transformed cells are ER $\beta$  positive showing two bands 68 and 53 kDa of molecular weight corresponding to ER $\beta$  long and short form, respectively. Those cells transformed by 17 $\beta$ -estradiol as well as those treated with progesterone significantly overexpressed the long form of ER $\beta$ . Instead, MCF-7 cells showed the short form of the ER $\beta$ . These data explain why the proliferative activity of the MCF-10F cells that has been treated with tamoxifen alone or

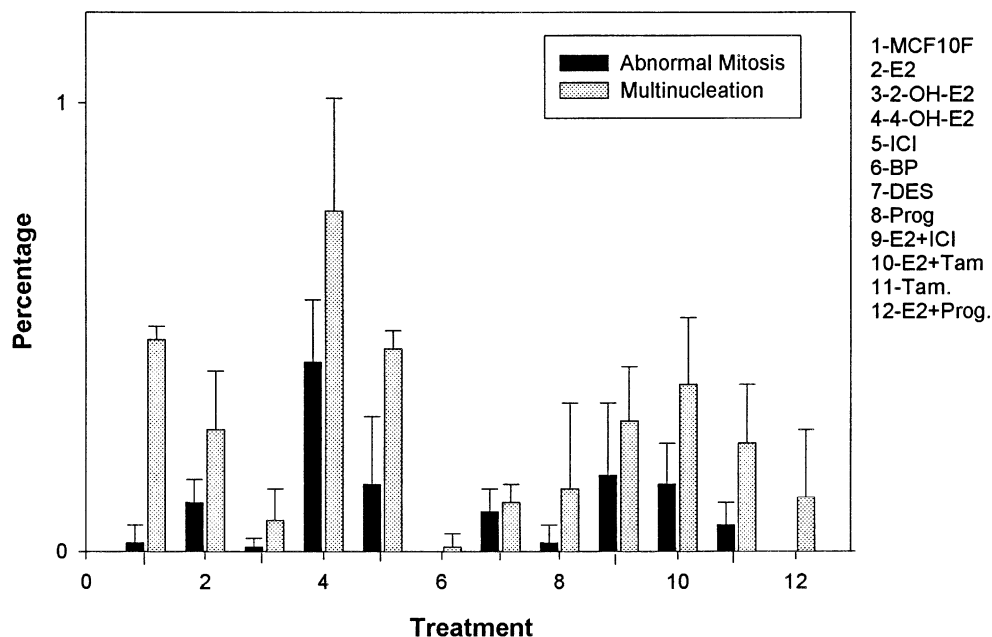


Fig. 13. Histogram showing the percentage of abnormal mitoses and multinucleated cells.



### Abnormal Mitosis In Transformed Cells

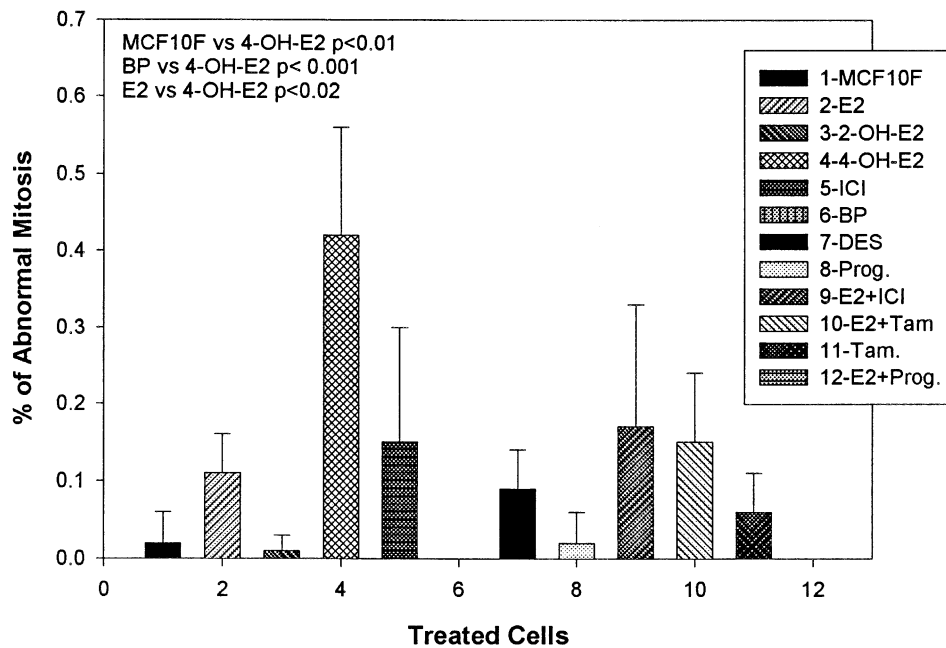


Fig. 14. Histogram showing the percentage of abnormal mitosis.

ICI-182,780 was not modified when compared with the control. Instead those cells that were treated with 17β-estradiol in presence of tamoxifen or ICI-182,780 showed no increment of the proliferative activity neither in monolayer nor collagen matrix. The colony formation in agar methocel was abrogated and the ductulogenic capacity was maintained. The proliferative activity of these cells in collagen matrix

was also abrogated. Indicating that the response of MCF-10F to estrogen could be mediated by the presence of ERβ. The functional role of ERβ-mediated estrogen signaling pathways in the pathogenesis of malignant diseases is essentially unknown. In the rats, ERβ-mediated mechanisms have been implicated in the upregulation of PgR expression in the dysplastic acini of the dorsolateral prostate in response to

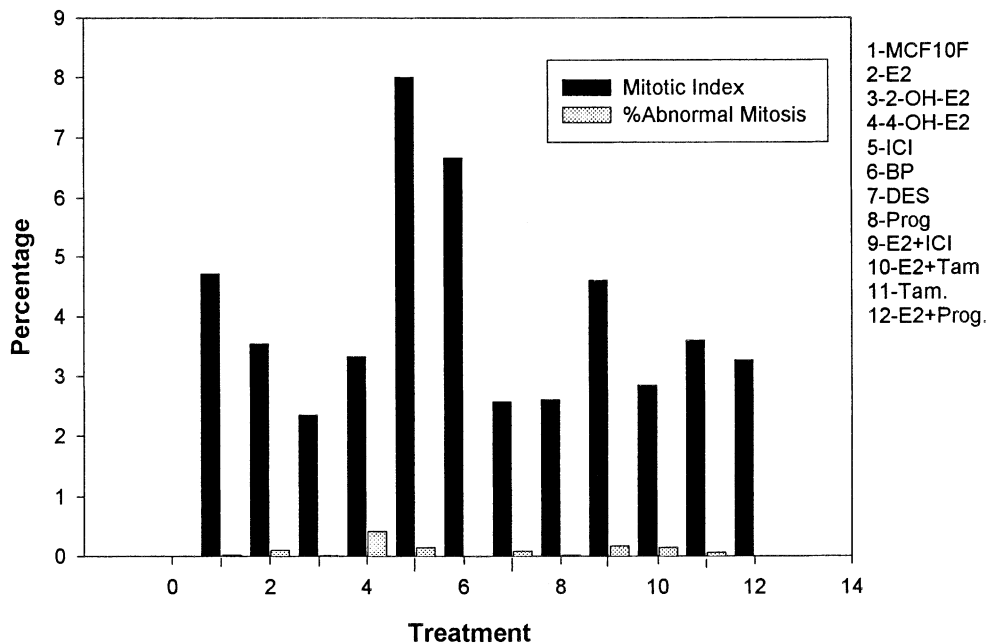


Fig. 15. Histogram showing a comparative value of the percentage of mitosis of mitotic index, and the percentage of abnormal mitosis.

treatment of testosterone and 17 $\beta$ -estradiol [82]. In the human, ER $\beta$  has been detected in both normal and cancerous breast tissues or cell lines, and is the predominant ER type in normal breast tissue. Expression of ER $\beta$  in breast tumors is inversely correlated with the PgR status and variant transcripts of ER $\beta$  have been observed in some breast tumors [26]. ER $\beta$  and ER $\alpha$  are co-expressed in some breast tumors and a few breast cell lines, suggesting an interesting possibility that ER $\alpha$  and ER $\beta$  proteins may interact with each other and discriminate between target sequences leading to differential responsiveness to estrogens. In addition, estrogen responses mediated by ER $\alpha$  and ER $\beta$  may vary with different composition of their co-activators that transmit the effect of ER–ligand complex to the transcription complex at the promoter of target genes. Recently, it has been shown that an increase in the expression of ER $\alpha$  with a concomitant reduction in ER $\beta$  expression occurs during tumorigenesis of the breast and ovary [83], but breast tumors expressing both ER $\alpha$  and ER $\beta$  are lymph node-positive and tend to be of higher histopathological grade. These data suggest a change in the interplay of ER $\alpha$ - and ER $\beta$ -mediated signal transduction pathways during breast tumorigenesis.

Although the presence of ER $\beta$  may indicate that the response of the cells to growth and form colonies in agar methocel could be mediated by this receptor. The presence of estrogen receptor  $\beta$  does not explain the data obtained using the metabolite 4-OH-estradiol. The transforming efficiency of 4-OH-E<sub>2</sub> was not abrogated by ICI neither in the colony efficiency assay nor in the loss of ductulogenic capacity. More importantly, ICI-182,780 was unable to abrogate the invasive phenotype induced by estrogen and tamoxifen, even exacerbate the invasive phenotype. Therefore, the data indicate that the ER $\beta$  pathway is not involved in the carcinogenic process. The biological role of the ER $\beta$  has been in part explained by gene knockout studies, in which the presence of ER $\alpha$  but not ER $\beta$  was necessary for the development of the mouse mammary gland [177]. ER $\beta$  may be acting as an antagonist of ER $\alpha$ , thus, by removing ER $\beta$  the suppressive effect of the receptor is lost. If that were the case in our HBEC, the presence of ER $\beta$  will abrogate the emergence of transformation. Alternatively, the downstream signaling pathway may dictate the putative suppressive effects of ER $\beta$ . Both ER subtypes can signal via classic estrogen response elements or via AP-1 enhancers. The downstream effects of signaling through AP-1 are both receptor and ligand specific [178]. In the model described above, it seems that the presence of ER $\beta$  is the pathway used by estrogen to induce cell proliferation in MCF-10F cells. This is supported by the fact that either tamoxifen or a pure antiestrogen like ICI abrogated these phenotypes. However, the invasion phenotype, an important marker of tumorigenesis is not modified when the cells are treated in presence of tamoxifen or ICI, suggesting that other pathways may be involved.

Although we cannot rule out the possibility, that 4-OH-E<sub>2</sub> may interact with other receptors still not identified, with the data presently available the direct effect of 4-OH-E<sub>2</sub> sup-

ports the concept that metabolic activation of estrogens mediated by various cytochrome P450 complexes, generating through this pathway reactive intermediates that elicit direct genotoxic effects leading to transformation. An increase in catechol estrogen (4-OH-E<sub>2</sub>) due to either elevated rates of synthesis or reduced rates of monomethylation will easily lead to their autooxidation to semiquinones and subsequently quinones, both of which are electrophiles capable of covalently binding to nucleophilic groups on DNA. Through this pathway estrogen metabolites exert direct genotoxic effects that might increase mutation rates, or compromise the DNA repair system, leading to the accumulation of genomic alterations essential to tumorigenesis [64,65]. This assumption was confirmed when we found that all the transformation phenotypes induced by 4-OH-E<sub>2</sub> were not abrogated when this compound was used in presence of the pure antiestrogenic ICI. The novelty of this observation lies in the fact that this pathway can successfully bypass the ER $\beta$  pathway.

17 $\beta$ -Estradiol and estrone, which are continuously interconverted by 17 $\beta$ -estradiol hydroxysteroid dehydrogenase (or 17 $\beta$ -oxidoreductase), are the two major endogenous estrogens. They are generally metabolized via two major pathways: hydroxylation at C-16 $\alpha$  position and at the C-2 or C-4 positions [87–89]. The carbon position of the estrogen molecules to be hydroxylated differs among various tissues and each reaction is probably catalyzed by various CYP isoforms. For example, in MCF-7 human breast cancer cells, which produce catechol estrogens (CE) in culture, CYP1A1 catalyzes hydroxylation of 17 $\beta$ -estradiol at C-2, C-15 $\alpha$  and C-16 $\alpha$ , CYP1A2 predominantly at C-2 [26,90], and a member of the CYP1B subfamily is responsible for the C-4 hydroxylation of 17 $\beta$ -estradiol. CYP3A4 and CYP3A5 have also been shown to play a role in the 16 $\alpha$ -hydroxylation of estrogens in human [26].

The hydroxylated estrogens are catechol estrogens that will easily be auto-oxidated to semiquinones and subsequently quinones, both of which are electrophiles capable of covalently binding to nucleophilic groups on DNA via a Michael addition and, thus, serve as the ultimate carcinogenic reactive intermediates in the peroxidatic activation of catechol estrogens. In addition, a redox cycle consisting of the reversible formation of the semiquinones and quinones of catechol estrogens catalyzed by microsomal P450 and cytochrome P450-reductase can locally generate superoxide and hydroxyl radicals to produce additional DNA damage. Furthermore, catechol estrogens have been shown to interact synergistically with nitric oxide present in human breast generating a potent oxidant that induces DNA strand breakage [26].

Steady state concentrations of catechol estrogens are determined by the cytochrome P450-mediated hydroxylations of estrogens and monomethylation of catechols catalyzed by blood-borne catechol *o*-methyltransferase [91]. Increased formation of catechol estrogens as a result of elevated hydroxylations of 17 $\beta$ -estradiol at C-4 and C-16 $\alpha$  [26,92] positions occurs in human breast cancer patients and in women

at a higher risk of developing this disease. There is also evidence that lactoperoxidase, present in milk, saliva, tears and mammary glands, catalyzes the metabolism of  $17\beta$ -estradiol to its phenoxyl radical intermediates, with subsequent formation of superoxide and hydrogen peroxide that might be involved in estrogen-mediated oxidative stress [93]. A substantial increase in base lesions observed in the DNA of invasive ductal carcinoma of the breast [94] has been postulated to result from the oxidative stress associated with metabolism of  $17\beta$ -estradiol [93].

During the process of cell transformation induced by estrogen and its metabolites there is an increase in the number of multinucleated cells and abnormal mitoses that is associated with the overexpression of one component of the centromere–kinetochore complex CENP-E. It is important to emphasize that the percentage of these abnormal mitoses is less than 1%. The movements that chromosomes undergo during mitosis are facilitated by the mitotic spindle, an apparatus composed principally of microtubule fibers that attach to a pair of kinetochores located on opposite sides of the centromere region of chromosomes. The microtubule–kinetochore interaction is essential for chromosome segregation. Disruptions of this interaction will lead to unequal distribution of chromosomes in daughter cells [171]. We have found that the CENP-E, a ca. 300 kDa protein that have been recently identified to be a novel member of the kinesin superfamily of microtubule-based motor proteins [171] is overexpressed in MCF-10F transformed cells by estrogens and its metabolites but not in the BP-transformed cells. CENP-E staining appeared only in mitotic cells [171], suggesting that it is a mitosis-specific motor. Its association with kinetochores suggests that it functions to translocate chromosomes along the spindle microtubules. This phenomena, however, was not observed in the BP-transformed cells indicating that whereas aneuploidy is part of the neoplastic transformation process is depending of the carcinogenic insult and probably not the main driving force to cause genomic instability. This concept was further confirmed by the lack of significant karyotypic changes detected in these transformed cells [179] and by the fact that the same cluster of genes were overexpressed in cells transformed with  $E_2$ , 4-OH- $E_2$  and BP, indicating that there is a common pathway of transformation and that may be responsible for driving the normal cell to neoplasia. The data also point toward the concept that certain compounds like steroid hormones or its metabolites may affect certain genes more readily than other exerting the expression of genes that are altering the mitotic spindle and therefore making the cell aneuploidy.

Breast cancer is considered the result of sequential changes that accumulate over time. DNA content changes, i.e. loss of heterozygosity and aneuploidy, can be detected at early stages of morphological atypia, supporting the hypothesis that aneuploidy is a critical event driving neoplastic development and progression [134,135]. Aneuploidy is defined as the gain or loss of chromosomes; it is a dy-

namic, progressive, and accumulative event that is almost universal in solid tumors [136,137]. The extensive array of altered gene expression observed in tumors and the numerous altered chromosomes detected by CGH [72,138] provide striking evidence that aneuploidy can totally disrupt cell homeostatic control. The main question is whether aneuploidy is a consequence of neoplastic development or a cause of neoplastic development [72,73,138]. One of the several mechanisms proposed for the development of aneuploidy is the failure to appropriately segregate chromosomes [73,74,139]. For example, interference with mitotic spindle dynamics, abnormal centrosome duplication, altered chromosome condensation and cohesion, defective centromeres, and loss of mitotic checkpoints [139]. Functional consequences of centrosome defects may play a role during neoplastic transformation and tumor progression, increasing the incidence of multipolar mitoses that lead to chromosomal segregation abnormalities and aneuploidy. In considering estrogen as a carcinogenic agents there is evidence that they affect microtubules [140] and a recently report indicates that progesterone may facilitate aneuploidy [141]. The importance of these findings is magnified with the recent publications that demonstrate women on hormone replacement treatments that include progesterone have increased mammographic breast density and increased breast cancer risk than women taking only estrogen [142–144].

In the center stage of the research endeavor on aneuploidy are the centrosomes that are organelles that nucleate microtubule growth and organize the mitotic spindle for segregating chromosomes into daughter cells, establishing cell shape and cell polarity, processes essential for epithelial gland organization [72,139]. Centrosomes also coordinate numerous intracellular activities, in part by providing a site enriched for regulatory molecules, including those that control cell cycle progression, centrosome and spindle function, and cell cycle checkpoints [73,145–148]. Although the underlying mechanisms for the formation of abnormal centrosomes are not clear, several possibilities have been proposed and implicated in the development of cancer such as alterations of checkpoint controls initiating multiple rounds of centrosome replication within a single cell cycle and failure of cytokinesis, cell fusion, and cell cycle arrest in S-phase uncoupling DNA replication from centrosome duplication [146].

The genomic signature of the three transformed cells present a cluster of genes that are commonly unregulated, indicating that a similar mechanism is involved in the transformation pathway. Interestingly, there are genes that are up-regulated in the  $E_2$  and 4-OH- $E_2$  transformed cells such as the CENP-E that are not modified in the BP-transformed cells. The same occurs for several genes that are down-regulated differentially in the three transformed cells.

A more striking change induced by estrogen and its metabolites in MCF-10F cells is the loss of heterozygosity in ch13q12.2–12.3 (D13S893) and in ch17q21.1 (D17S800) in  $E_2$ , 2-OH- $E_2$ , 4-OH- $E_2$ ,  $E_2$  + ICI,  $E_2$  + tamoxifen and

BP-treated cells. LOH in ch17q21.1–21.2 (D17S806) was also observed in E<sub>2</sub>, 4-OH-E<sub>2</sub>, E<sub>2</sub> + ICI, E<sub>2</sub> + tamoxifen and BP-treated cells. MCF-10F cells treated with P or P + E<sub>2</sub> did not show LOH in any of the markers studied. LOH was strongly associated with the invasion phenotype. Altogether our data indicate that E<sub>2</sub> and its metabolites induce in HBEC LOH in loci of chromosomes 13 and 17, that has been reported in primary breast cancer [172–175], that the changes are similar to those induced by the chemical carcinogen (BP) and that the genomic changes were not abrogated by antiestrogens.

The detection of LOH in HBEC transformed with estrogen and its metabolites are supported by various types of DNA damage induced by estrogen metabolites in cell-free systems or in cells in culture and by parent hormones in vivo [95–99], leading to the hypothesis of estrogen as mutagen and tumor initiator [100–103]. Estrogens induce microsatellite instability, changes in DNA fragments containing microsatellite repeat sequences in E<sub>2</sub>-induced hamster kidney tumors, in surrounding kidney tissue [104] and in MCF-10F HBEC transformed by E<sub>2</sub> [105]. Microsatellite instability is a relatively common genetic modification [106–108], induced by the natural hormone E<sub>2</sub> in cells in culture [105], in Syrian hamster kidney tumors, and in surrounding tissues [104]. It has also been detected with high frequency in human vaginal tumors in daughters of women treated with diethylstilbestrol (DES) [109]. Microsatellite instability has also been detected in human breast tumors [110–117].

Chemical carcinogens covalently bind to DNA to form two types of adducts: stable ones that remain in DNA unless removed by repair and depurinating ones that are lost from DNA by destabilization of the glycosyl bond [118,119]. Evidence that depurinating polycyclic aromatic hydrocarbon–DNA adducts play a major role in tumor initiation [118–120] and that estrogen metabolites form depurinating DNA adducts strongly indicates that estrogen is an endogenous initiator of cancer [95]. Catechol estrogens are among the major metabolites of estrone (E<sub>1</sub>) and estradiol (E<sub>2</sub>). If these metabolites are oxidized to the electrophilic CE quinones (CE-Q), they may react with DNA. Specifically, the carcinogenic 4-CE [96,121] are oxidized to CE-3,4-Q, which react with DNA to form depurinating adducts [95,122]. These adducts generate apurinic sites that may lead to oncogenic mutations [74,120,122,123], thereby initiating cancer. The effects of some of these factors have already been observed in analyses of breast tissue samples from women with and without breast cancer [133]. The levels of E<sub>1</sub> (E<sub>2</sub>) in women with carcinoma were higher. In women without breast cancer, a larger amount of 2-CE than 4-CE was observed. In women with breast carcinoma, the 4-CE were 3.5 times more abundant than the 2-CE and were 4 times higher than in the women without breast cancer [133], supporting the finding that E<sub>2</sub> and its metabolites mainly 4-OH-E<sub>2</sub> are carcinogenic agents in breast epithelial cells.

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- CE-Q*: Catechol estrogen-quinone  
*CENP-E*: Centromere–kinetochore complex  
*CE*: Colony efficiency  
*CGH*: Comparative genomic hybridization  
*CS*: Colony size  
*CYP*: Cytochrome P450  
*DES*: Diethylstilbestrol  
*DTT*: Dithiothreitol  
*EDTA*: Ethylene-diamino-tetraacetic-acid  
*E<sub>1</sub>*: Estrone  
*E<sub>2</sub>*: Estradiol  
*ER*: Estrogen receptors  
*ER $\alpha$* : Estrogen receptor  $\alpha$   
*ER $\beta$* : Estrogen receptor  $\beta$   
*4-OH-E<sub>2</sub>*: 4-Hydroxy estradiol  
*HBEC*: Human breast epithelial cells  
*HCl*: Hydrochloric acid  
*Lob 1*: Lobule type 1  
*Lob 2*: Lobule type 2  
*Lob 3*: Lobule type 3  
*Lob 4*: Lobule type 4  
*LOH*: Loss of heterozygosity  
*MEM*: Minimal essential medium  
*PAGE*: Polyacrylamide gel electrophoresis  
*PCR*: Polymerase chain reaction  
*PR*: Progesterone receptor  
*PgR*: Progesterone receptor  
*16- $\alpha$ -OH-E<sub>2</sub>*: 16- $\alpha$ -Hydroxy-estradiol  
*2-OH-E<sub>2</sub>*: 2-Hydroxy-estradiol  
*SE*: Survival efficiency  
*SM*: Spherical masses

## Glossary

### Definition of key terms

*ATCC*: American Tissue Culture Collection

*BP*: Benz(a)pyrene

*BSA*: Bovine serum albumin